IN THE UNITED STATES PATENT AND TRADEMARK OFFICÉ

In re Patent Application of

DAWSON

Serial No. 09/972,193

Filed: October 9, 2001

For: APPARATUS AND METHODS FOR HANDLING

TRADING DATA

Atty. Ref.: 2425-14

Group: 2161 RECEIVED

Examiner:

JAN 3 1 2002 Technology Center 2100

January 29, 2002

Assistant Commissioner for Patents Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Attention is directed to the attached UK Search Report for a UK counterpart of this application and each reference cited therein. A PTO-1449 is also attached.

Official consideration and citation of each such reference is requested.

Respectfully submitted,

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